# Whittington Neighbourhood Plan

Strategic Environmental Assessment (SEA) Screening Opinion

**Final Report** 

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09 May 2017



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### 1. Introduction

- 1.01 Strategic Environmental Assessment (SEA) is required under European legislation<sup>1</sup> for all plans which may have a significant effect on the environment. For neighbourhood plans, this particularly relates to plans which designate sites for development.
- 1.02 The purpose of the SEA is to provide a high level of protection of the environment and to integrate considerations of the environment into the preparation and adoption of plans with a view to promoting sustainable development.
- 1.03 The SEA process sets out criteria for assessing the significance of the impact of a plan on the environment. Any assessment must consider both positive and negative effects. For example, if a plan proposes a housing development it may have an impact on the wildlife of the area or have an impact on landscape. If a significant effect is possible, the assessment requires the consideration of reasonable alternative options and for the evaluation of the potential effects on the environment of those alternatives.
- 1.04 To ascertain if SEA is required, a screening exercise is undertaken which looks at the draft policies and proposals in a neighbourhood plan to determine whether a significant effect is likely. The criteria for this screening are set out in the relevant legislation<sup>2</sup>.
- 1.05 This report details the screening assessment undertaken by Northumberland County Council, the Local Planning Authority, of the Whittington Neighbourhood Plan against the need for SEA. It concludes that, in the opinion of the Local Planning Authority, an SEA is not required.
- 1.06 An SEA Screening Opinion was sent to the consultation bodies<sup>3</sup>, that is: the Environment Agency; Historic England; and Natural England, in order to seek their views on its conclusions<sup>4</sup>. As a result of the responses received, the Screening Opinion has been revised; this Screening Report forms the formal Screening Opinion on behalf of the County Council. Responses from the consultation bodies are included in Appendix 2 of this Screening Report.
- 1.07 Following the publication of the SEA Screening Final Report, further representations were received from Historic England which modified their previous response. This in effect changed the outcome of the Screening Opinion. Details of this can be found in the conclusion provided in section 5 of this report and also in Historic England's revised response included at Appendix 3.

<sup>&</sup>lt;sup>1</sup> European Directive 2001/42/EC (SEA Directive)

<sup>&</sup>lt;sup>2</sup> See footnote above, plus <u>Environmental Assessment of Plans and Programmes Regulations 2004 (SEA Regulations)</u>

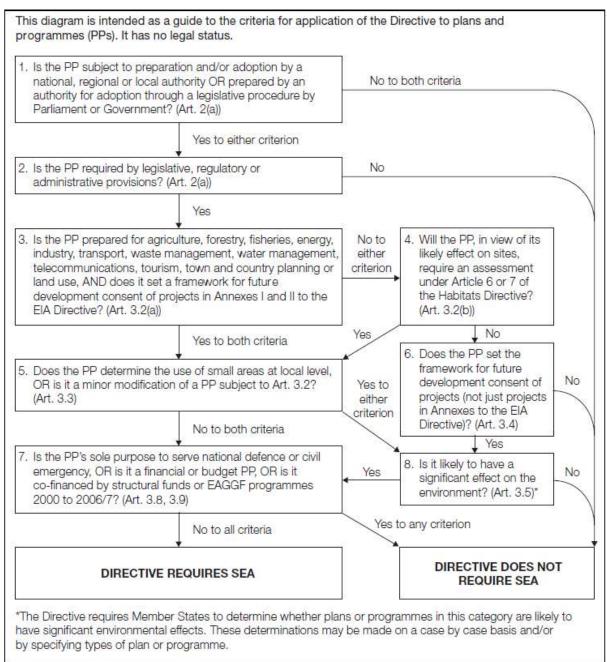
<sup>&</sup>lt;sup>3</sup> As set out in Regulation 4(1) of the SEA Regulations 2004.

<sup>&</sup>lt;sup>4</sup> As set out in Regulation 9(2)(b) of the SEA Regulations 2004.

# 2. Strategic Environmental Assessment

- 2.01 Regulation 15 of The Neighbourhood Planning (General) Regulations 2012 (as amended) requires that certain specified information is provided alongside a draft neighbourhood plan when it is submitted to the local planning authority. In summary, this information is:
  - (a) A map or statement which identifies the area to which the proposed neighbourhood development plan relates;
  - (b) A consultation statement;
  - (c) The proposed neighbourhood development plan;
  - (d) A 'basic conditions statement'; and
  - (e) (i) an environmental report, or (ii) a screening opinion indicating that an environmental report is not required.
- 2.02 The objective of the SEA Directive is:
  - "To provide for a high level of protection of the environment and contribute to the integration of environmental considerations into the preparation and adoption of development plans...with a view to promoting sustainable development" EU Directive 2001/42/EC (Article 1).
- 2.03 To establish if a neighbourhood plan requires SEA, a screening assessment is required against a series of criteria set out in the SEA Directive. Figure 1 sets out the screening process and how a plan should be assessed against the SEA Directive criteria. An assessment of the characteristics of the Whittington Neighbourhood Plan against these criteria is set out in Table 1.

Figure 1: Application of the SEA Directive to plans and programmes



Source: A Practical Guide to the Strategic Environmental Assessment Directive, ODPM (2005)<sup>5</sup>

2.04 Table 1, below, sets out the eight questions identified in the diagram above and provides an answer with regard to the proposed Whittington Neighbourhood Plan.

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<sup>&</sup>lt;sup>5</sup> https://www.gov.uk/government/publications/strategic-environmental-assessment-directive-guidance

 Table 1: Application of the SEA Directive to the Whittington Neighbourhood Plan

Stage	Y/N	Reason
1. Is the Plan subject to preparation and/or adoption by a national, regional or local authority OR prepared by an authority through a legislative procedure by Parliament or Government? (Article 2(a))	Yes	Neighbourhood Plans are prepared by a qualifying body (Parish/Town Councils) under the Town and Country Planning Act 1990 (as amended). This Neighbourhood Plan is prepared by Whittington Parish Council (as the "relevant body") and will be 'made' by Northumberland County Council as the local authority.
		The preparation of Neighbourhood Plans is subject to The Neighbourhood Planning (General) Regulations 2012 and The Neighbourhood Planning (Referendums) Regulations 2012.
2. Is the Plan required by legislative, regulatory or administrative provisions? (Article 2(a))	No	Communities have a right to be able to produce a Neighbourhood Plan. However, communities are not required by legislative, regulatory or administrative purposes to produce a Neighbourhood Plan. However, if 'made', the Whittington Neighbourhood Plan would form part of the statutory development plan; it is therefore considered necessary to answer the following questions to determine further if SEA is required.
3. Is the Plan prepared for agriculture, forestry, fisheries, energy, industry, transport, waste management, telecommunications, tourism, town and country planning or land use, AND does it set a framework for future development consent of projects in Annexes I and II to the EIA Directive? (Article 3.2(a))	Yes	A Neighbourhood Plan can include these policy areas and could provide, at a Neighbourhood Area level, the framework for development that would fall within Annex II of the EIA Directive.  Developments that fall within Annex I are 'excluded' development for Neighbourhood Plans, as set out in Section 61(k) of the Town and Country Planning Act 1990 (as amended). It is not anticipated that the Whittington Neighbourhood Plan would be the tool to manage development of the scale and nature envisaged by Annex I and Annex II of the EIA Directive (see Appendix 1 of this report for details).
<b>4.</b> Will the Plan, in view of its likely effects on sites, require an assessment of future development under Article 6 or 7 of the Habitats Directive? (Article 3.2(b))	Not known	A Neighbourhood Plan could potentially have impacts on sites covered by the Habitats Regulations. A separate HRA screening assessment to ascertain whether an Appropriate Assessment is required under the Conservation of Habitats and Species Regulations 2010, which relate to Articles 6(3) and (4) of the Habitats Directive.
		It is considered unlikely that a HRA screening assessment would trigger the need for further appropriate assessment since the Plan does not propose to allocate land for development other than that which currently has planning permission.

<b>5.</b> Does the Plan determine the use of small areas at local level OR is it a minor modification of a plan or proposal subject to Article 3.2? (Article 3.3)	Yes	A Neighbourhood Plan can determine the use of small areas at a local level. The Whittington Neighbourhood Plan includes policies relating to the location of sustainable development and seeks to allocate land for the purposes of housing provision.
<b>6.</b> Does the Plan set the framework for future development consent of projects (not just projects in annexes to the EIA Directive)? (Article 3.4)	Yes	Once 'made', a Neighbourhood Plan forms part of the statutory Development Plan and will be used in the determination of planning applications in the Neighbourhood Area. Therefore, it sets the framework for future developments at a local level.
7. Is the Plan's sole purpose to serve the national defence or civil emergency, OR is it a financial or budget plan or proposal, OR is it cofinanced by structural funds or EAGGF programmes 2000 to 2006/7? (Article 3.8, 3.9)	No	The Whittington Neighbourhood Plan does not deal with these issues.
8. Is it likely to have a significant effect on the environment? (Article 3.5)	No	The Whittington Neighbourhood Plan seeks to allocate land for residential development. The allocation of two areas of land for housing would lead to an increase in the number of dwellings in Great Whittington and would allow for a modest increase in the number of properties in the Neighbourhood Area over the Plan Period to 2031. It is important to note that both sites have planning permission and would deliver a total of 19 dwellings.  Significant effects resulting from the Plan are not considered likely.

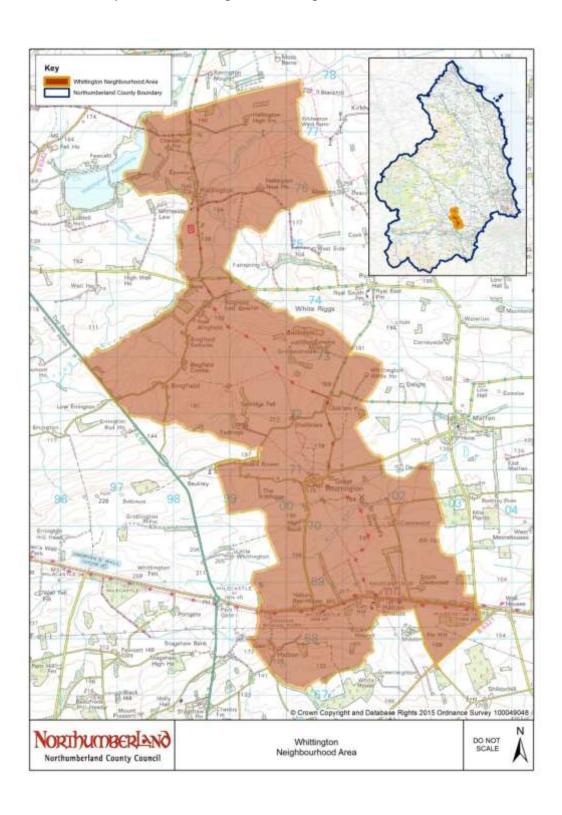
- 2.05 The conclusion of the assessment in Table 1 is that, depending on the content of a Neighbourhood Plan, an SEA may be required. For this reason, a case by case analysis of neighbourhood plans will be required to determine any potential significant effects on the environment.
- 2.06 Assessment of the significance of the effect of a neighbourhood plan will depend on the proposals within it. The criteria for assessing the likely significance of effects are set out in Annex II of the SEA Directive and Schedule 1 of the Regulations. These are shown below in Figure 2.

## Figure 2: Criteria for determining likely significance of effects on the environment

- 1. The characteristics of neighbourhood plans, having regard, in particular, to:
- (a) the degree to which the plan sets a framework for projects and other activities, either with regard to the location, nature, size and operating conditions or by allocating resources;
- **(b)** the degree to which the plan influences other plans and programmes including those in a hierarchy;
- (c) The relevance of the plan for the integration of environmental considerations in particular with a view to promoting sustainable development;
- (d) Environmental problems relevant to the plan;
- (e) The relevance of the plan for the implementation of Community legislation on the environment (e.g. plans and programmes linked to waste management or waste protection
- 2. Characteristics of the effects and of the area likely to be affected, having regard, in particular, to:
  - (a) The probability, duration, frequency and reversibility of the effects;
  - **(b)** The cumulative nature of the effects;
  - (c) The transboundary nature of the effects;
  - (d) The risks to human health or the environment (e.g. due to accidents);
  - **(e)** The magnitude and spatial extent of the effects (geographical area and size of the population likely to be affected);
  - **(f)** The value and vulnerability of the area likely to be affected due to:
    - (i) Special natural characteristics or cultural heritage;
    - (ii) Exceeded environmental quality standards or limit values;
    - (iii) Intensive land use
  - (g) The effects on areas or landscapes which have a recognised national, Community or international protection status.

# 3. Whittington Neighbourhood Plan

3.01 Whittington parish is a large civil parish in Northumberland; the parish includes the settlement of Great Whittington, together with smaller settlements such as Halton, Bingfield, Hallington and Halton Shields. The Whittington Neighbourhood Area covers the entire civil parish of Whittington. The Neighbourhood Area is shown below:



3.02 The Vision and Objectives of the Whittington Neighbourhood Plan are set out below:

# Whittington Neighbourhood Plan Vision:

"Our vision for Whittington Parish for the next 20 years is that it remains a group of sustainable, vibrant, linked yet distinct rural communities. New development will conserve and enhance the rich agricultural, architectural and environmental heritage of the Parish for the benefit of current and future residents."

# **Objective 1 – The Natural Environment**

Conserving and enhancing the peaceful, tranquil and beautiful nature of the natural environment of the Parish, within its agricultural landscape setting;

# **Objective 2 - The Built Environment**

Maintaining and enhancing the many heritage assets of the Parish ensuring that new development conserves and respects the traditional, historic style, scale, density and feel of buildings and places across the Parish;

# Objective 3 - Vibrant and Thriving Communities

Building on the strong sense of community across the Parish, in particular by: supporting the provision of affordable housing to meet identified needs and retaining and enhancing important community facilities and services;

# Objective 4 – The Economy

Supporting the creation of new and existing sustainable economic development, particularly agricultural and tourism sectors;

# Objective 5 – Movement and Connectivity

Ensuring that the environmental quality of the Parish is protected by effectively managing traffic as well as supporting opportunities to improve sustainable travel.

- 3.03 The Plan contains policies which seek to deliver the aims and objectives and proposes the allocation of two specific sites for residential development, both of which currently benefit from planning permission.
- 3.04 The Policies contained within the Whittington Neighbourhood Plan are intended to support decision making that deliver the five objectives which are considered central to the achievement of the vision.

# 4. SEA Screening Assessment

- 4.01 The policies set out in the Pre-submission Consultation Draft Whittington Neighbourhood Plan have been used to undertake the screening assessment. If the conclusion of the screening exercise is that an SEA is not required, any major changes to the existing policies, or the introduction of new policies, will mean that the Plan should be subject to a further screening assessment to ensure that significant effects are not likely.
- 4.02 Sustainability Appraisal and Strategic Environmental Assessment are being carried out alongside the emerging Northumberland Local Plan Core Strategy. These documents have been taken into account in undertaking this screening assessment.

Table 2: Assessment of the likelihood of significant effects on the environment

Criteria (Schedule 1)	Significant Environmental Effect likely?		
	Yes/no	Justification	
The characteristics of plans a	nd programn	nes, having regard, in particular, to:	
(a) the degree to which the plan or programme sets a framework for projects and other activities, either with regard to the location, nature, size and operating conditions or by allocating resources.	No	The main framework will continue to be at the Core Strategy level, which is itself subject to SEA as a matter of course. The Whittington Neighbourhood Plan seeks to allocate land for residential development at two locations in Great Whittington. Both sites have planning permission and are considered to be deliverable within the Plan period.	
(b) the degree to which the plan or programme influences other plans and programmes including those in a hierarchy.	No	It is possible that the Whittington Neighbourhood Plan could inform future supplementary guidance in the area. The present draft document is in accordance with existing higher-level plans and programmes and builds upon them through the inclusion of locally-specific policies which seek to protect and enhance the local built and natural environments. Due to the locally-specific nature of the policies, the effects of the Whittington Neighbourhood Plan on other plans and programmes within the wider development plan will be slight.	
(c) the relevance of the plan or programme for the integration of environmental considerations, in particular with a view to promoting sustainable development.	No	The Whittington Neighbourhood Plan seeks to promote sustainable development overall and does not seek any relaxations to sustainable development principles set out in higher-level plans. The Whittington Neighbourhood Plan includes several specific policies relating to the conservation of designated heritage assets and protection of the natural environment. The Neighbourhood Plan does not seek to address any significant environmental problems in the area.	

Criteria (Schedule 1)	Significant Environmental Effect likely?			
	Yes/no	Justification		
The characteristics of plans ar	The characteristics of plans and programmes, having regard, in particular, to:			
(d) environmental problems relevant to the plan or programme	No	The Whittington Neighbourhood Plan is not anticipated to result in significant environmental problems.  In terms of wider existing environmental problems in the Neighbourhood Area, these could be tackled through the implementation of the Plan.		
(e) the relevance of the plan or programme for the implementation of Community legislation on the environment (e.g. plans and programmes linked to waste-management or water protection)	No	The Whittington Neighbourhood Plan has to be in conformity with the strategic policies contained within other relevant planning documents. The current adopted local plan for the area consists of the saved policies in the Tynedale District Local Plan (2000) and the policies contained within the Tynedale District LDF Core Strategy. Policies in these plans are material planning considerations where they comply with the National Planning Policy Framework (2012). New planning policies are emerging in Northumberland. Northumberland County Council is producing a Core Strategy for the whole of the County. That Plan is at an advanced stage.  The Whittington Neighbourhood Plan supports the implementation of higher level policies at the Neighbourhood Area level. It is therefore not considered to have significant influence on other plans and programmes or their effects on the environment.		

Criteria (Schedule 1)	Significant Environmental Effect likely?		
	Yes/no	Justification	
Characteristics of the effects a	and of the ar	ea likely to be affected, having regard, in particular, to:	
(a) the probability, duration, frequency and reversibility of the effects.	Not known	The Whittington Neighbourhood Plan seeks to allocate land for residential development. The allocation of two areas of land for housing would lead to an increase in the number of dwellings in Great Whittington and would allow for a modest increase in the number of properties in the Neighbourhood Area over the Plan period to 2031. It is important to note that both sites have planning permission and would deliver a total of 19 dwellings.  The Neighbourhood Plan is supportive of sustainable development within the overall protective policy context of the development plan in terms of the built and natural environment.	
(b) the cumulative nature of the effects	No	The Whittington Neighbourhood Plan proposes a policy which would allocate land for housing. Both sites have planning permission for a total of 19 dwellings, which is mirrored in the Neighbourhood Plan. Following feedback from the consultation bodies and further correspondence from Historic England, it is considered that the Neighbourhood Plan is unlikely to result in significant effects either in isolation or cumulatively. As mentioned, this is set within the context of a Neighbourhood Plan which is supportive of sustainable development within the overall protective policy context of the development plan in terms of the built and natural environment.	
(c) the transboundary nature of the effects	No	The Civil Parish of Whittington is contained wholly within Northumberland. It is therefore not anticipated that the Neighbourhood Plan would result in transboundary effects.	
(d) the risks to human health or the environment (for example, due to accidents)	No	At this point, it is thought unlikely that there would be risks to human health or the environment arising from the Whittington Neighbourhood Plan.	
(e) the magnitude and spatial extent of the effects (geographical area and size of the population likely to be affected.	Not known	The Whittington Neighbourhood Plan seeks to allocate land for residential development. The allocation of two areas of land for housing would lead to an increase in the number of dwellings in Great Whittington and would allow for a modest increase in the number of properties in the Neighbourhood Area over the Plan Period to 2031. It is important to note that both sites have planning permission and would deliver a total of 19 dwellings. Their inclusion in the Neighbourhood Plan is considered to have a neutral effect.	

		The Neighbourhood Plan is supportive of sustainable development within the overall protective policy context of the development plan in terms of the built and natural environment.
Criteria (Schedule 1)	Significant Yes/no	Environmental Effect likely?  Justification
(f)(i) the value and vulnerability of the area likely to be affected due to special natural characteristics or cultural heritage.	No	The Whittington Neighbourhood Area contains a number of important designations, including, but not limited to, designated and non-designated heritage assets.  While it is clear that the Neighbourhood Area contains a number of sensitive/potentially vulnerable receptors, it is unlikely that these would be affected by the Neighbourhood Plan policies, since the policies seek to conserve and enhance the landscape, biodiversity, natural habitats and cultural heritage of the Neighbourhood Area. The policy which seeks to allocate land within the conservation area for housing is considered unlikely to have an impact on cultural heritage.
(f)(ii) the value and vulnerability of the area likely to be affected due to exceeded environmental quality standards or limit values.	No	This would be unlikely to result from the proposals.
(f)(iii) the value and vulnerability of the area likely to be affected due to intensive land use.	No	This would be unlikely to result from the proposals.
(g) the effects on areas or landscapes which have a recognised national, Community or international protection status.	No	Given the content of the Neighbourhood Plan policies, and their focus on sustainable development which is sympathetic to the qualities of the area and the integration of sustainability criteria early in the process significant effects resulting from the majority of the policies contained within the Whittington Neighbourhood Plan are unlikely.
		The Neighbourhood Plan seeks to protect the character of the Neighbourhood Area and should result in a neutral or slight positive effect through providing locall specific detail and protection which is not provided at other levels of the development plan.

# 5. SEA Screening Opinion Conclusion

- 5.01 Northumberland County Council consulted the consultation bodies on an SEA Screening Opinion in March 2017. The Screening Opinion considered that SEA was not required; the allocation of land for housing was not considered by the County Council to have a significant effect on the environment due to having been considered through the planning process as both proposed allocations in the neighbourhood plan have existing planning permissions.
- 5.02 While Natural England and the Environment Agency agreed with the Council's Screening Opinion, Historic England raised concerns that significant effects on the historic environment may be possible as a result of allocations included in the Plan.
- 5.03 A SEA Screening Final Report was issued to Whittington Parish Council on 28 April by Northumberland County Council. The conclusion of that Final Report was that SEA was required as a result of concerns raised by Historic England. However, in light of a revised representation received from Historic England on 8<sup>th</sup> May 2017, it is considered by both Northumberland County Council and Historic England that there is sufficient evidence to allow SEA to be screened out in relation to the Whittington Neighbourhood Plan.
- 5.04 Based on the SEA Screening Assessment set out in Table 2 above, and the formal response provided by Historic England<sup>6</sup>, the conclusion is that, in the opinion of Northumberland County Council, the Whittington Neighbourhood Plan is unlikely to result in significant effects on the environment when considered against the criteria set out in Schedule 1 of the SEA Regulations. The main reasons for this conclusion are:
  - While the Whittington Neighbourhood Plan seeks to allocate land for residential development, these allocations relate to land which has been granted planning permission for a total of 19 dwellings across two separate sites and subject to separate planning permissions. The merit of development on these sites has been considered through the Development management process.
  - Site H2 was the subject of concerns raised by Historic England in their letter of 28<sup>th</sup>
    April 2017. However, Historic England have confirmed that they had not been
    aware of the impact assessment supporting the planning application as this was not
    included within the SEA Screening Opinion. Therefore, having considered that a
    sufficient assessment of the site was carried out.
  - Taking account of the above, it is considered that SEA is not required.

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<sup>&</sup>lt;sup>6</sup> See Appendix 3 for revised formal response from Historic England, provided on 8<sup>th</sup> May 2017.

# Appendix 1: Annex I and Annex II of the EIA Directive<sup>7</sup>

### Annex I

- 1. Crude-oil refineries (excluding undertakings manufacturing only lubricants from crude oil) and installations for the gasification and liquefaction of 500 tonnes or more of coal or bituminous shale per day.
- 2. (a) Thermal power stations and other combustion installations with a heat output of 300 megawatts or more;
  - (b) Nuclear power stations and other nuclear reactors including the dismantling or decommissioning of such power stations or reactors (1) (except research installations for the production and conversion of fissionable and fertile materials, whose maximum power does not exceed 1 kilowatt continuous thermal load).
- 3. (a) Installations for the reprocessing of irradiated nuclear fuel;
  - (b) Installations designed:
    - (i) for the production or enrichment of nuclear fuel;
    - (ii) for the processing of irradiated nuclear fuel or high-level radioactive waste;
    - (iii) for the final disposal of irradiated nuclear fuel;
    - (iv) solely for the final disposal of radioactive waste;
    - (v) solely for the storage (planned for more than 10 years) of irradiated nuclear fuels or radioactive waste in a different site than the production site.
- 4. (a) Integrated works for the initial smelting of cast iron and steel;
  - (b) Installations for the production of non-ferrous crude metals from ore, concentrates or secondary raw materials by metallurgical, chemical or electrolytic processes.
- 5. Installations for the extraction of asbestos and for the processing and transformation of asbestos and products containing asbestos: for asbestos-cement products, with an annual production of more than 20 000 tonnes of finished products, for friction material, with an annual production of more than 50 tonnes of finished products, and for other uses of asbestos, utilisation of more than 200 tonnes per year.
- 6. Integrated chemical installations, i.e. those installations for the manufacture on an industrial scale of substances using chemical conversion processes, in which several units are juxtaposed and are functionally linked to one another and which are:
  - (a) for the production of basic organic chemicals;
  - (b) for the production of basic inorganic chemicals;
  - (c) for the production of phosphorous-, nitrogen- or potassium-based fertilisers (simple or compound fertilisers);
  - (d) for the production of basic plant health products and of biocides;
  - (e) for the production of basic pharmaceutical products using a chemical or biological process;
  - (f) for the production of explosives.
- 7. (a) Construction of lines for long-distance railway traffic and of airports (2) with a basic runway length of 2 100 m or more;
  - (b) Construction of motorways and express roads\_(3);

<sup>&</sup>lt;sup>7</sup> http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32011L0092

- (c) Construction of a new road of four or more lanes, or realignment and/or widening of an existing road of two lanes or less so as to provide four or more lanes, where such new road or realigned and/or widened section of road would be 10 km or more in a continuous length.
- 8. (a) Inland waterways and ports for inland-waterway traffic which permit the passage of vessels of over 1 350 tonnes;
  - (b) Trading ports, piers for loading and unloading connected to land and outside ports (excluding ferry piers) which can take vessels of over 1 350 tonnes.
- 9. Waste disposal installations for the incineration, chemical treatment as defined in Annex I to Directive 2008/98/EC of the European Parliament and of the Council of 19 November 2008 on waste (4) under heading D9, or landfill of hazardous waste, as defined in point 2 of Article 3 of that Directive.
- 10. Waste disposal installations for the incineration or chemical treatment as defined in Annex I to Directive 2008/98/EC under heading D9 of non-hazardous waste with a capacity exceeding 100 tonnes per day.
- 11. Groundwater abstraction or artificial groundwater recharge schemes where the annual volume of water abstracted or recharged is equivalent to or exceeds 10 million cubic metres.
- 12. (a) Works for the transfer of water resources between river basins where that transfer aims at preventing possible shortages of water and where the amount of water transferred exceeds 100 million cubic metres/year;
  - (b) In all other cases, works for the transfer of water resources between river basins where the multi-annual average flow of the basin of abstraction exceeds 2 000 million cubic metres/year and where the amount of water transferred exceeds 5 % of that flow.
  - In both cases transfers of piped drinking water are excluded.
- 13. Waste water treatment plants with a capacity exceeding 150 000 population equivalent as defined in point 6 of Article 2 of Council Directive 91/271/EEC of 21 May 1991 concerning urban waste-water treatment [5].
- 14. Extraction of petroleum and natural gas for commercial purposes where the amount extracted exceeds 500 tonnes/day in the case of petroleum and 500 000 cubic metres/day in the case of gas.
- 15. Dams and other installations designed for the holding back or permanent storage of water, where a new or additional amount of water held back or stored exceeds 10 million cubic metres.
- 16. Pipelines with a diameter of more than 800 mm and a length of more than 40 km:
  - (a) for the transport of gas, oil, chemicals;
  - (b) for the transport of carbon dioxide (CO<sub>2</sub>) streams for the purposes of geological storage, including associated booster stations.
- 17. Installations for the intensive rearing of poultry or pigs with more than:
  - (a) 85 000 places for broilers, 60 000 places for hens;
  - (b) 3 000 places for production pigs (over 30 kg); or
  - (c) 900 places for sows.
- 18. Industrial plants for the production of:
  - (a) pulp from timber or similar fibrous materials;

- (b) paper and board with a production capacity exceeding 200 tonnes per day.
- 19. Quarries and open-cast mining where the surface of the site exceeds 25 hectares, or peat extraction, where the surface of the site exceeds 150 hectares.
- 20. Construction of overhead electrical power lines with a voltage of 220 kV or more and a length of more than 15 km.
- 21. Installations for storage of petroleum, petrochemical, or chemical products with a capacity of 200 000 tonnes or more.
- 22. Storage sites pursuant to Directive 2009/31/EC of the European Parliament and of the Council of 23 April 2009 on the geological storage of carbon dioxide (6).
- 23. Installations for the capture of  $CO_2$  streams for the purposes of geological storage pursuant to Directive 2009/31/EC from installations covered by this Annex, or where the total yearly capture of  $CO_2$  is 1,5 megatonnes or more.
- 24. Any change to or extension of projects listed in this Annex where such a change or extension in itself meets the thresholds, if any, set out in this Annex.

#### Annex II

### 1. AGRICULTURE, SILVICULTURE AND AQUACULTURE

- (a) Projects for the restructuring of rural land holdings;
- (b) Projects for the use of uncultivated land or semi-natural areas for intensive agricultural purposes;
- (c) Water management projects for agriculture, including irrigation and land drainage projects;
- (d) Initial afforestation and deforestation for the purposes of conversion to another type of land use;
- (e) Intensive livestock installations (projects not included in Annex I);
  - (f) Intensive fish farming;
  - (g) Reclamation of land from the sea.

### 2. EXTRACTIVE INDUSTRY

- (a) Quarries, open-cast mining and peat extraction (projects not included in Annex I);
  - (b) Underground mining;
- (c) Extraction of minerals by marine or fluvial dredging;
- (d) Deep drillings, in particular:
  - (i) geothermal drilling;
  - (ii) drilling for the storage of nuclear waste material;
  - (iii) drilling for water supplies;

with the exception of drillings for investigating the stability of the soil;

(e) Surface industrial installations for the extraction of coal, petroleum, natural gas and ores, as well as bituminous shale.

#### 3. ENERGY INDUSTRY

- (a) Industrial installations for the production of electricity, steam and hot water (projects not included in Annex I);
- (b) Industrial installations for carrying gas, steam and hot water; transmission of electrical energy by overhead cables (projects not included in Annex I);

- (c) Surface storage of natural gas;
- (d) Underground storage of combustible gases;
- (e) Surface storage of fossil fuels;
- (f) Industrial briquetting of coal and lignite;
- (g) Installations for the processing and storage of radioactive waste (unless included in Annex I);
- (h) Installations for hydroelectric energy production;
- (i) Installations for the harnessing of wind power for energy production (wind farms);
- (j) Installations for the capture of CO<sub>2</sub> streams for the purposes of geological storage pursuant to Directive 2009/31/EC from installations not covered by Annex I to this Directive.

### 4. PRODUCTION AND PROCESSING OF METALS

- (a) Installations for the production of pig iron or steel (primary or secondary fusion) including continuous casting;
- (b) Installations for the processing of ferrous metals:
  - (i) hot-rolling mills;
  - (ii) smitheries with hammers;
  - (iii) application of protective fused metal coats;
  - (c) Ferrous metal foundries;
- (d) Installations for the smelting, including the alloyage, of non-ferrous metals, excluding precious metals, including recovered products (refining, foundry casting, etc.);
- (e) Installations for surface treatment of metals and plastic materials using an electrolytic or chemical process;
- (f) Manufacture and assembly of motor vehicles and manufacture of motor-vehicle engines;
  - (g) Shipyards;
- (h) Installations for the construction and repair of aircraft;
- (i) Manufacture of railway equipment;
  - (j) Swaging by explosives;
- (k) Installations for the roasting and sintering of metallic ores.

# 5. MINERAL INDUSTRY

- (a) Coke ovens (dry coal distillation);
- (b) Installations for the manufacture of cement;
- (c) Installations for the production of asbestos and the manufacture of asbestos products (projects not included in Annex I);
- (d) Installations for the manufacture of glass including glass fibre;
- (e) Installations for smelting mineral substances including the production of mineral fibres;
- (f) Manufacture of ceramic products by burning, in particular roofing tiles, bricks, refractory bricks, tiles, stoneware or porcelain.

#### 6. CHEMICAL INDUSTRY (PROJECTS NOT INCLUDED IN ANNEX I)

- (a) Treatment of intermediate products and production of chemicals;
- (b) Production of pesticides and pharmaceutical products, paint and varnishes, elastomers and peroxides;
- (c) Storage facilities for petroleum, petrochemical and chemical products.

#### 7. FOOD INDUSTRY

- (a) Manufacture of vegetable and animal oils and fats;
- (b) Packing and canning of animal and vegetable products;
- (c) Manufacture of dairy products;
- (d) Brewing and malting;
- (e) Confectionery and syrup manufacture;
- (f) Installations for the slaughter of animals;
- (g) Industrial starch manufacturing installations;
- (h) Fish-meal and fish-oil factories;
  - (i) Sugar factories.

### 8. TEXTILE, LEATHER, WOOD AND PAPER INDUSTRIES

- (a) Industrial plants for the production of paper and board (projects not included in Annex I);
- (b) Plants for the pre-treatment (operations such as washing, bleaching, mercerisation) or dyeing of fibres or textiles;
  - (c) Plants for the tanning of hides and skins;
- (d) Cellulose-processing and production installations.

### 9. RUBBER INDUSTRY

Manufacture and treatment of elastomer-based products.

#### **10. INFRASTRUCTURE PROJECTS**

- (a) Industrial estate development projects;
- (b) Urban development projects, including the construction of shopping centres and car parks;
- (c) Construction of railways and intermodal transhipment facilities, and of intermodal terminals (projects not included in Annex I);
- (d) Construction of airfields (projects not included in Annex I);
- (e) Construction of roads, harbours and port installations, including fishing harbours (projects not included in Annex I);
- (f) Inland-waterway construction not included in Annex I, canalisation and flood-relief works;
- (g) Dams and other installations designed to hold water or store it on a long-term basis (projects not included in Annex I);
- (h) Tramways, elevated and underground railways, suspended lines or similar lines of a particular type, used exclusively or mainly for passenger transport;
- (i) Oil and gas pipeline installations and pipelines for the transport of CO<sub>2</sub> streams for the purposes of geological storage (projects not included in Annex I);
  - (j) Installations of long-distance aqueducts;
- (k) Coastal work to combat erosion and maritime works capable of altering the coast through the construction, for example, of dykes, moles, jetties and other sea defence works, excluding the maintenance and reconstruction of such works;
- (I) Groundwater abstraction and artificial groundwater recharge schemes not included in Annex I;
- (m) Works for the transfer of water resources between river basins not included in Annex I.

# 11. OTHER PROJECTS

- (a) Permanent racing and test tracks for motorised vehicles;
- (b) Installations for the disposal of waste (projects not included in Annex I);

- (c) Waste-water treatment plants (projects not included in Annex I);
  - (d) Sludge-deposition sites;
- (e) Storage of scrap iron, including scrap vehicles;
- (f) Test benches for engines, turbines or reactors;
- (g) Installations for the manufacture of artificial mineral fibres;
- (h) Installations for the recovery or destruction of explosive substances;
  - (i) Knackers' yards.

### **12. TOURISM AND LEISURE**

- (a) Ski runs, ski lifts and cable cars and associated developments;
  - (b) Marinas;
- (c) Holiday villages and hotel complexes outside urban areas and associated developments;
- (d) Permanent campsites and caravan sites;
  - (e) Theme parks.
- 13. (a) Any change or extension of projects listed in Annex I or this Annex, already authorised, executed or in the process of being executed, which may have significant adverse effects on the environment (change or extension not included in Annex I);
- (b) Projects in Annex I, undertaken exclusively or mainly for the development and testing of new methods or products and not used for more than two years.

# **Appendix 2: Responses to Screening Opinion from Consultation Bodies**

4/28/2017

Northum berland County Council Mail - Consultation on Whittington Neighbourhood Plan SEA Screening Opinion



NeighbourhoodPlanning Unknown <neighbourhoodplanning@northumberland.gov.uk>

### Consultation on Whittington Neighbourhood Plan SEA Screening Opinion

NA NE, Planning <planning.nane@environment-agency.gov.uk> To: NeighbourhoodPlanning Unknown <neighbourhoodplanning@northumberland.gov.uk> 26 April 2017 at 14:30

Hi Rob.

Many thanks for consulting us in regard to Whittington Neighbourhood Plan SEA Screening Opinion. We can confirm that we have no comment on the documents concerned. We apologise for the delay in replying your email.

Kind regards

Josh Kwok

Sustainable Places Advisor

From: rob.naples@northumberland.gov.uk [mailto:rob.naples@northumberland.gov.uk] On Behalf Of

NeighbourhoodPlanning Unknown

Sent: 24 April 2017 16:14

To: NA NE, Planning <planning.nane@environment-agency.gov.uk>

Subject: Fwd: Consultation on Whittington Neighbourhood Plan SEA Screening Opinion

[Quoted text hidden]

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Date: 27 April 2017 Our ref: 211472

Your ref: Great Whittington Neighbourhood Plan SEA Screening Opinion.

Mr. Rob Naples
Neighbourhood Planning and Infrastructure Team
Northumberland County Council
County Hall
Morpeth
NE61 2EF

Customer Services Hornbeam House Crewe Business Park Electra Way Crewe Cheshire

T 0300 060 3900

CW16GJ

BY EMAIL ONLY

Dear Mr. Naples,

Planning consultation: Great Whittington Neighbourhood Plan Screening Opinion Consultation Location: Great Whittington, Northumberland.

Thank you for your consultation on the above dated 22 March 2017 which was received by Natural England on the same date.

Natural England is a non-departmental public body. Our statutory purpose is to ensure that the natural environment is conserved, enhanced, and managed for the benefit of present and future generations, thereby contributing to sustainable development.

Regulation 9(2)(b) of the Strategic Environmental Assessment (SEA) Regulations 2004.

We concur with the conclusions set out in Section 5, page 5 of the Whittingham Neighbourhood Plan Strategic Environmental Assessment (SEA) Screening opinion document dated 21<sup>st</sup> March 2017, and that therefore the Neighbourhood Plan does not require a full SEA to be undertaken.

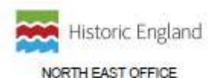
We would be happy to comment further should the need arise but if in the meantime you have any queries please do not hesitate to contact us.

For any queries relating to the specific advice in this letter <u>only</u> please contact Michael Miller on 0208 2256263. For any new consultations, or to provide further information on this consultation please send your correspondences to <u>consultations@naturalengland.org.uk</u>.

We really value your feedback to help us improve the service we offer. We have attached a feedback form to this letter and welcome any comments you might have about our service.

Yours sincerely

Michael Miller Lead Sustainable Development Advisor This response, received on 28 April 2017 has now been superseded by a further representation by Historic England, received on 08 May 2017. The Screening Opinion has been subsequently revised.



Mr Rob Naples
Northumberland County Council
Communities & Infrastructure
County Hall
Morpeth
Northumberland
NE61 2EF

Direct Dial: 0191-2691232

Our ref: PL00082082

28 April 2017

Dear Mr Naples

# Whittington Neighbourhood Plan - SEA Screening Opinion

Thank you for consulting Historic England on the Strategic Environmental Assessment (SEA) Screening Opinion on the Whittington Neighbourhood Plan. We must apologise that due to IT issues we have been unable to comment until now.

Whilst it is possible that there will be no significant environmental effects, the SEA Screening Opinion does not present the evidence to demonstrate that. The only evidence being presented is that the sites have been granted consent. However, Historic England was concerned about several aspects of the proposals for site H2 in terms of their impact on the historic environment, which we set out in our letter on 19 February 2016. In order to demonstrate that there would be no significant environmental effects, evidence such as a heritage impact assessment for that application or a site allocation assessment as part of the Local Plan evidence should be provided. In the absence of these, it is not possible for Historic England to agree that an SEA is not required.

We would be happy to discuss this further.

Yours sincerely,

Jules Brown
Historic Places Adviser

jules.brown@historicengland.org.uk

CC:





# **Appendix 3: Revised response from Historic England<sup>8</sup>**



# NORTH EAST OFFICE

Mr Rob Naples Northumberland County Council Communities & Infrastructure County Hall Morpeth Northumberland NE61 2EF Direct Dial: 0191-2691232

Our ref: PL00082082

7 May 2017

Dear Mr Naples

## Whittington Neighbourhood Plan - SEA Screening Opinion - Revision

Thank you for consulting Historic England on the Strategic Environmental Assessment (SEA) Screening Opinion on the Whittington Neighbourhood Plan. As you are aware, IT issues prevented us from commenting on the matter until 28 April 2017, but it has since come to light that the content of our letter was not fully informed.

Having now revisited the matter, we note that an impact assessment was included with the 2016 planning application for site H2; this helps us understand the environmental impacts of the site's allocation in the neighbourhood plan. We also note that, following revisions to that planning application made after we first commented on it, we sent a second letter making clear we had no objection in heritage terms to the principle of development on the site.

Therefore, whilst we maintain that the Screening Opinion should have expressly used the relevant impact assessment as evidence, we do now agree there has been sufficient assessment of site H2 to avoid the need for SEA. Whilst we have not commented on site H1, we accept that SEA is not needed for the draft Whittington Neighbourhood Plan.

I trust this clarifies our position.

Yours sincerely,

Jules Brown

Historic Places Adviser

jules.brown@historicengland.org.uk



BESSIE SURTEES HOUSE 41-44 SANDHILL NEWCASTLE-UPON-TYNE NE1 3JF

Telephone 0191 269 1255 HistoricEngland.org.uk



Historic England is subject to the Freedom of Information Act. 2000 (FOIA) and Environmental Information Regulations 2004 (EIR). All information held by the organisation will be accessible in response to an information request, unless one of the exemptions in the FOIA or EIR applies.

<sup>&</sup>lt;sup>8</sup> This letter supersedes the previous response provided by Historic England on 28 April 2017.