

#### Introduction

The Northumberland Safeguarding Adults Board (NSAB) is a multi-agency partnership made up of statutory, independent and charitable organisations which have a stakeholder interest in safeguarding adults across Northumberland.

The vision of the NSAB is to promote individuals' human rights and capacity for independence; to ensure that each person is treated with dignity and respect; and to ensure that individuals who have care and support needs are afforded protection from abuse, neglect, discrimination or poor treatment and that their carers whether paid or unpaid, are safe.

### **Strategy Principles**

As no single agency can act in isolation, all constituent members of the Safeguarding Adults Board recognise the need for and give a commitment to work in partnership and collaboration. In addition the NSAB agrees to follow the six Care Act principles which underpin all adult safeguarding work.

#### Shared statement of Intent

It is the intent of the NSAB to improve the lives of adults at risk of abuse who are living in Northumberland by ensuring that all those who work with them know what abuse is, will work together to prevent individuals becoming victims in the first

 Empowerment – People being supported and encouraged to make their own decisions and informed consent.
 "I am asked what I want as the outcomes

"I am asked what I want as the outcomes from the safeguarding process and these directly inform what happens."

- **Prevention** It is better to take action before harm occurs.
- "I receive clear and simple information about what abuse is, how to recognise the signs and what I can do to seek help."
- **Proportionality** The least intrusive response appropriate to the risk presented. "I am sure that the professionals will work in my interest, as I see them and they will only get involved as much as needed."
- Protection Support and representation for those in greatest need. "I get help and support to report abuse and neglect. I get help so that I am able to take part in the safeguarding process to the extent to which I want."
- Partnership Local solutions through services working with their communities. Communities have a part to play in preventing, detecting and reporting neglect and abuse.
- "I know that staff treat any personal and sensitive information in confidence, only sharing what is helpful and necessary. I am confident that professionals will work together and with me to get the best result for me."
- Accountability Accountability and transparency in delivering safeguarding I understand the role of everyone involved in my life and so do they."

place. will intervene as early as possible to stop abuse or neglect where it is occurring, will respond to the needs of victims to help repair the damage caused and ensure that they are afforded justice.

## **Purpose of the SAB**

The overarching purpose of the SAB is to:

- Assure itself that local safeguarding arrangements are in place as defined by the Care Act
- Assure itself that safeguarding practice is person centred and outcome focussed
- Prevent abuse and neglect where possible
- Ensure timely and proportionate responses when abuse and neglect have occurred
- Assure itself that safeguarding practice is continuously improving and enhancing the quality
  of life of adults in its area
- Ensure implementation of findings and lessons learned from Safeguarding Adult Reviews across the safeguarding system



## Strategy aims and objectives

Adult safeguarding remains a generally under-reported activity. The aim of this strategy is to raise the profile of the issue and develop expertise across all partner agencies. The aspiration of the Northumberland SAB is to develop a strategic overview of adult safeguarding supporting a proactive partnership where those at risk are identified and safeguarded and afforded restorative justice, and perpetrators are disrupted and where prosecuted.

## Prevalence of adult safeguarding in Northumberland

- The number of Adult Concern Notifications<sup>1</sup> was almost the same in 2015/16 (4782) compared to 2014/15 (4795).
- There were 908 Safeguarding Referrals<sup>2</sup> during 2015/16, 20% higher than the previous year (754 referrals in 2014/15) and of these 54% were assessed as requiring an investigation.
- The main sources of referral are the Police (43%), Care Home staff (10%) and Social Care Staff (7%)
- There have been 2 cases of modern slavery investigated in this period. With both cases involving forced manual labour.

### **NSAB** Key Priorities

#### **PREVENTION**

- Identifying vulnerable individuals and groups, offering early intervention and providing on-going support to help them build resilience and prevent abuse and neglect occurring in the future
- Enabling adults at risk of abuse and neglect to make safe choices, and stay safe through education and raising awareness of the risks

#### **SAFEGUARDING**

- By supporting victims and/or carers through interventions designed around their individual needs, aspirations and interests
- Ensuring support from professionals is consistent; drawing on recognised models of best practice to help victims make sense of their experience, regain control and exit from abusive or neglectful situations

#### RESPONDING TO PERPETRATORS OF ABUSE AND NEGLECT

 Being pro-active across multi-agency partnerships and joint protocols to identify, disrupt and prosecute perpetrators, and minimise the duration and impact of abuse being experienced by victims

<sup>&</sup>lt;sup>1</sup> A Notification is information from other agencies which does *not* (on its own) indicate that there has been a significant incident of abuse or neglect, but which may, taken together with other information, call for further investigation.

<sup>&</sup>lt;sup>2</sup> A referral is a situation which the referrer believes may call for investigation.



 Supporting perpetrators where they have needs of their own that need to be met to prevent them from harming others

#### **BUILDING PUBLIC CONFIDENCE**

- Making sure that communities understand the risks of adult abuse and neglect, and that they know how to access help and support
- Providing accessible information which highlights the role of community vigilance in tackling adult abuse and neglect
- Assuring our communities that we are learning from Safeguarding Adult Reviews and embedding that learning across the whole system.

## **Implementation**

The NSAB Strategic Plan for 2016 - 2017 outlines the work that will be undertaken by the NSAB in association with other partnership boards to safeguard those people in Northumberland who have care and support needs and require help to stay safe. To implement this strategy and meet our shared priorities we will focus on:

- Providing leadership and working in partnership
- Identification and understanding risk
- Engagement, intervention and supporting victims
- Training and awareness raising
- Responding to perpetrators

Our Strategic Action Plan will be used to monitor the Board's work over the next year and an update on progress will be formally made at each Board meeting. The Action Plan will also form part of the Northumberland Safeguarding Adults Board Annual Report for 2016/17.

Note: Board Priorities that are shared with other Partnership Boards/Safeguarding Boards are shown in blue boxes.



| Required Outcome<br>What do we want to<br>achieve?  | Action What we are going to do to achieve it?  | Who will be responsible?                               | Review<br>Date | RAG<br>status | Evidence How will we know we have achieved the target?  |
|---|--|--|----------------|---------------|---|
| Strategic Priority 1: Prov  |  |  |                |               |   |
| 1.1 Adequate resources are available to the SAB to enable it to carry out its functions and members of the SAB, collectively, have sufficient skills and experience to perform their role | -All partners to provide appropriately senior representatives -All partners to support work of the board with financial resources where possible | All Board Partners                                     | April<br>2017  |               | Terms of Reference will be published including membership   |
| 1.2 All stakeholders are clear about the terms of reference of the board and their roles and responsibilities in relation to the board  | -Ensure all new members receive "Board induction pack" plus a copy of the Board training catalogue to support them in their role                 | Independent Chair and<br>Strategic Manager             |                |               | There will be a record that all new members have received induction on joining the board and all partners have a role description and Terms of Reference  An induction pack will be available |
| 1.3 The SAB is compliant with the provisions of the Care Act 2014   | -Publish an<br>Annual Report<br>and Strategic<br>Plan and  | Independent<br>Chair/Strategic<br>Safeguarding Manager | April<br>2017  |               | Annual Report and Strategic plan will be available on NCC website  SAR's will be commissioned as indicated and  |



|                        |                    |                       | 1     |  |
|------------------------|--------------------|-----------------------|-------|--|
|                        | commission         |                       |       | reported on the NCC website                        |
|                        | SAR's as required  |                       |       |  |
| 1.4 Safeguarding       | -All partners to   | All Board members     | April | Board will report on the number of QAF's received. |
| practice in            | complete the self- |                       | 2017  | Noted in minutes and reported through the annual   |
| Northumberland is      | assessment/audit   |                       |       | report. Available publicly on NCC website.         |
| person centred and     | framework (QAF)    |                       |       |  |
| outcome focussed       | on an annual       |                       |       |  |
|                        | basis and report   |                       |       |  |
| 1.5 Consistently good  | this for inclusion |                       |       |  |
| and person centred     | in the annual      |                       |       |  |
| multi-agency           | report             |                       |       |  |
| safeguarding practice  |                    |                       |       |  |
| is embedded across     | -Multi agency      | Performance Sub Group |       | Outcomes of audit will be reported to board and    |
| the county and         | audit to sample    |                       |       | available via minutes on the NCC website           |
| continuously improved  | cases across       |                       |       |  |
| through feedback from  | Northumberland     |                       |       |  |
| people with care and   |                    |                       |       |  |
| support needs, their   | -Service user and  | Performance and       |       | Reports will be provided to the board and minutes  |
| carers, links with the | carer feedback to  | Service user Sub      |       | available on NCC website                           |
| wider community, and   | be monitored       | Groups                |       |  |
| feedback from          |                    |                       |       |  |
| partners.              |                    |                       |       |  |
|                        |                    |                       |       |  |
| 1.6 Partners can       | -Reports provided  | Safeguarding Adults   | April | Reports will be provided routinely to the board.   |
| evidence that they are | bi-monthly to the  | Review Committee      | 2017  | Minutes available on NCC website                   |
| embedding the          | Board              |                       |       |  |
| learning from complex  |                    |                       |       | SAR Executive Summaries and recommendations        |
| cases and              | -Learning from     | Communications Sub    |       | will be shared with the board and published        |
| Safeguarding Adults    | cases will be      | Group                 |       |  |
| Reviews                | disseminated to    |                       |       | Briefing notes will be produced for staff/public   |
|                        | Board partners     |                       |       |  |
|                        | and embedded       | Training Sub Group    |       | Social Work Forums will consider case studies and  |



|  | into training strategy  -All partners to benchmark against lessons learned from local and national SARs  | Safeguarding Adults<br>Review Committee                |               | lessons learnt  Training strategy will reflect learning from SARs and complex cases   |
|--|--|--|---------------|---|
| 1.7 Adequate governance arrangements are in place, our partners are accountable for their contribution and the work of the Board is receiving appropriate scrutiny | -The agreed protocol between the SAB and HWB Board will be in operation and the Strategic Plan, Annual Report and commissioned SAR's will be shared with the Overview & Scrutiny Committee and Health & Well Being Board | Independent<br>Chair/Strategic<br>Safeguarding Manager | April<br>2017 | Annual Strategic Plan and Report will be presented to Overview & Scrutiny Committee and Health & Well Being Board. Minutes of those meetings available on NCC website |
|  | -All partners will contribute to the collective accountability of the board to   | All Board Members                                      | April<br>2017 | Board will report on the number of QAF's received and identify any gaps.  |



|  | ensure adequate safeguarding processes are in place across Northumberland   |                                   |               |   |
|--|---|-----------------------------------|---------------|---|
|  | All partners to<br>complete the self-<br>assessment/audit<br>framework (QAF)<br>on an annual<br>basis and report<br>this for inclusion<br>in the annual<br>report |                                   |               |   |
| 1.8 All member agencies are contributing to the work of the Board and subgroups                            | -Audit of<br>attendance by<br>Board and sub<br>group members  | Strategic Safeguarding<br>Manager | April<br>2017 | Audit will be reported to the Board. Minutes available on NCC website   |
| 1.9 Partner agencies are attending safeguarding meetings   | -Annual audit of<br>attendance by<br>partner agencies<br>at safeguarding<br>meetings  | Performance Sub Group             | April<br>2017 | Information will be included in performance report to board and reviewed at annual Development Day.  Minutes on NCC website                   |
| 1.10 All partners are able to provide assurance with regards safeguarding issues in their area (to include | -Individual<br>reports, CQC and<br>NCC/CCG<br>commissioning<br>reports to the   | All Board Partners                | April<br>2017 | Board will report on the number of QAF's received. Noted in minutes and reported through the annual report. Available publicly on NCC website |



| specific reports on issues such as domestic violence, welfare reform, restraint, prisons, commissioning, CCG and housing providers) | Performance Sub<br>Group<br>-All partners to<br>complete QAF as<br>above  |  |               |   |
|---|---|--|---------------|---|
| 1.11 Partners are meeting their duties in relation to supporting people who may need safeguarding under the PREVENT agenda          | -Reports will be provided to SAB by the Safer Northumberland Partnership Any training needs identified will be responded to | Safer Northumberland<br>Partnership Manager<br>NOT training Group  | April<br>2017 | Regular reports will be provided to SAB. Minutes on NCC website |
|   | -Any necessary<br>changes to policy<br>will be highlighted<br>and amendments<br>made  | Strategic Safeguarding<br>Manager/Policies and<br>Procedures Group |               |   |
|   |   |  |               |   |



| Strategic Priority 2. Iden                                    | tification and unders                                   | tanding of risk                           |               |  |
|---|---|---|---------------|--|
| 2.1 Abuse and neglect are identified and responded to quickly | -All policies and procedures to be kept up to date      | All Board Partners Strategic Safeguarding | April<br>2017 | Multi agency Policies and Procedures are published on NCC website  |
| and appropriately in<br>Northumberland                        | and reviewed in line with the over-                     | Manager                                   |               | All board partners will publish their policies and procedures on linked websites                               |
|   | arching multi<br>agency policy and<br>any learning from |   |               |  |
|   | local or national SARs - Performance                    | Performance Sub Group                     |               | Performance information will continue to be analysed and shared with the board. Minutes will be on NCC website |
|   | Sub Group will receive and                              | r enormance Sub Group                     |               | Website  |
|   | analyse<br>performance                                  |   |               |  |
|   | information on a bi-monthly basis                       |   |               |  |
|   | from a range of   |   |               |  |
|   | sources, including other partners                       |   |               |  |
|   | -a multi agency   |   |               |  |
|   | audit process will be devised and in                    |   |               |  |
|   | operation   |   |               |  |
| 2.2 Information will be                                       | -consideration to                                       | Northumbria Police,                       | Dec           |  |
| shared effectively  | be considered   | Adult and Children's                      | 2018          |  |
| between agencies via  | into the  | Social care and other                     |               |  |



| secure electronic systems  2.2 Abuse and neglect are prevented wherever possible before they occur  | development of a MASH in Northumberland -Develop a prevention policy across the North of Tyne (NOT) area                                      | associated board partners  Strategic Safeguarding Manager and NOT group  Safer Northumberland | April<br>2017 | Prevention Policy will be publically available on the NCC website                        |
|---|---|---|---------------|--|
| 2.3 Safeguarding responses are proportionate and recognise people's strengths wherever possible so that individuals are supported in the least restrictive and most person centred way possible and empowered to take positive risks in their lives | -Develop Risk<br>Enablement<br>policy and publish<br>to all stakeholders  | Partnership Board Policies and Procedures Sub NOT group                                       | April<br>2017 | Policy will be ratified by the Board. Minutes of Board will be available on NCC website. |
| 2.7 The Deprivation of<br>Liberty Safeguards<br>(DoLS) and Mental<br>Capacity Act 2005 are<br>being operated<br>effectively   | -Dashboard<br>report will be<br>produced for the<br>Performance sub<br>group by NHCFT<br>information team<br>-any issues will<br>be escalated | Performance Sub Group   | April<br>2017 | Information will be included in performance report to board. Minutes on NCC website      |



| Strategic Priority 3. Enga  | agement, Intervention   | n and Supporting victims   |               |   |
|---|---|--|---------------|---|
| 31 Partners are working together to produce an events/campaigns calendar to raise public and professional awareness of safeguarding   | -All stakeholders<br>will confirm<br>events/campaigns<br>in which they are<br>already involved  | Communications sub group   | Dec<br>2017   | Events calendar will be published on Northumberland safeguarding website  All member agencies will have links on their respective webpages. |
| 3.2 Members of the public (including hard to reach groups) are aware of the work of the board, what abuse is and how to report it  3.3 A range of publicity campaigns eg SAFE WEEK, radio, awareness raising events and poster campaigns will be undertaken | -A range of publicity campaigns eg SAFE WEEK, radio, awareness raising events and poster campaigns will be undertaken -NSAB will be contributing to the Safer Together newsletter | Communications sub group  NOT group  Regional Safeguarding Group | April<br>2017 | Press coverage, information on website  Annual report   |
| 3.4 Awareness raising in Northumberland is effective  | -Devise a<br>survey/evaluation<br>form for staff and<br>public to measure<br>impact of<br>safeguarding  | Communications Sub<br>Group                                      | April<br>2017 | Feedback will be reported to the board and minuted.   |



|                         | 1                   |                        |       |   |
|-------------------------|---------------------|------------------------|-------|---|
|                         | -Monitor any        | Communications Sub     |       |   |
|                         | increase in hits to | Group                  |       |   |
|                         | website after       |                        |       |   |
|                         | events and          |                        |       |   |
|                         | campaigns           |                        |       |   |
| 3.5 There are           | -Safeguarding       | Strategic Safeguarding | Dec   | DVD will be published                                   |
| appropriate             | and You (SAY)       | Manager, Future Routes | 2016  |   |
| safeguarding            | Project will        | Group and              |       | Pilot to be identified to test materials with a view to |
| resources for young     | finalise DVD and    |                        |       | wider roll out to schools across Northumberland.        |
| people/ adults          | supporting          |                        |       | Details will be available on NCC website.               |
| Safeguarding and You    | materials to        |                        |       |   |
| (SAY) Project will      | explain what        |                        |       |   |
| produce a DVD and       | safeguarding is     |                        |       |   |
| supporting materials to | and how to report   |                        |       |   |
| explain what            | it to younger       |                        |       |   |
| safeguarding is and     | audience            |                        |       |   |
| how to report it to     |                     |                        |       |   |
| younger audience        | -Develop training   | Training Sub           |       |   |
| yeariger addiction      | module for          | Group/Education team   |       |   |
|                         | schools regarding   | Croup, Eddodion toam   |       |   |
|                         | Adult               |                        |       |   |
|                         | Safeguarding        |                        |       |   |
| 3.6 Victims are offered | -Victims to be      | Northumbria Healthcare | April | Report to be included in Performance report to board    |
| appropriate physical,   | offered             | Foundation             | 2017  | and reflected in annual report.                         |
| emotional and           | appropriate         | Trust/Northumberland   |       |   |
| psychological support   | support and/or      | Tyne and Wear Trust    |       |   |
| following any incident  | signposting to      | I Jilo and Woar Hade   |       |   |
| of abuse or neglect     | more appropriate    |                        |       |   |
| a. abass of flogiost    | services            |                        |       |   |
|                         | 00.71000            |                        |       |   |
|                         | -Sample audit of    | Performance Sub Group  |       |   |
|                         | outcomes for        | . Silemanee Cab Group  |       |   |
|                         |                     |                        | L     |   |



| Strategic Priority 4: Train<br>4.1 There is an up to<br>date joint workforce<br>development strategy<br>for adult safeguarding<br>across Northumberland<br>and North<br>Tyneside | victims to be<br>undertaken<br>ing and awareness<br>-Update and<br>maintain<br>workforce<br>development<br>strategy        | raising<br>NOT Training sub group | April<br>2017 | Action plan will be RAG rated and training statistics will be reported to the board. Minutes on NCC website |
|--|--|-----------------------------------|---------------|---|
| Strategic Priority 5: Responsible 5.1 Perpetrators of abuse and neglect will be identified and dealt with appropriately.   | -Northumbria -Northumbria Police will proactively use legislation to challenge and confront criminal behaviour             | Northumbria Police                | April<br>2017 | Prosecutions will be published – number of prosecutions from safeguarding will be reported in annual report |
|  | -Partners use those powers available to them to prevent safeguarding from occurring or to help protect a person from harm. | All Board Members                 |               | Any contractual intervention will be reflected in the annual report  CQC publish regulatory action          |
|  | harmThe police will  | Northumbria Police                |               |   |



| identify persons subject to safeguarding risks and identify resources to reduce and mitigate risk eg neighbourhood policing  -Adult social care will ensure that where the perpetrator is another vulnerable adult that they receive appropriate review of their own needs  -Sample audit of outcomes for perpetrators to be conducted | Northumbria Healthcare Foundation Trust  Performance Sub Group |  |  | Sample audit of outcomes for perpetrators will be provided to board and included in minutes which will be published. |
|--|--|--|--|--|
|--|--|--|--|--|

Key - Blue - Shared Strategic Priority with other board (s)