



**Comparison of 2019/20 NE Authority  
Formula values**

**1. Purpose of the Report**

To provide information regarding the respective formula values used by the 12 NE local authorities and our neighbouring authority Cumbria in 2019/20. This is to provide additional insight in respect of the continuing implementation of the National Funding Formula and potential implications for Schools Funding in Northumberland for 2020/21 and beyond. Further information will be provided as and when it is published by the ESFA in October 2019, (including a provisional DSG figure based on Oct 2018 data) before the publication of a final DSG figure in late December. All LAs need to submit their completed Authority Pro-Forma Tool (APT) incorporating their proposed formula values to the ESFA by 21 January 2020.

**2. Recommendations**

Schools Forum is asked to consider the attached information and consider the position as to the setting of funding formula values for 2020/21.

**3. Background**

Schools Forum received a report in July 2019 highlighting the progress made towards the implementation of the National Funding Formula (NFF). Of the 28 key formula factors highlighted, for Northumberland:

- 20 were in line with NFF values;
- 6 were higher than NFF figures including Primary and KS4 AWPU and certain deprivation indices; and
- 2 were lower than NFF values, including Primary Low Prior Attainment.

Previously in September 2018 we provided 2018/19 information for the other 11 NE local authorities in order to provide some comparative information. Values used by LAs for 2019/20 is now available and key information is shared below. The full report is published by the DfE at:

<https://www.gov.uk/government/publications/schools-block-funding-formulae-2019-to-2020>

**4. Basic per-pupil entitlement**

This is a mandatory factor which every local authority must use in their 2019 to 2020 formula. Local authorities are permitted to choose different age-weighted pupil unit (AWPU) rates for primary pupils, for key stage 3 pupils and for key stage 4 pupils; but they must specify a primary AWPU of at least £2,000, and key stage 3 and key stage 4 AWPU values of at least £3,000.

5 of the 13 authorities have set their Primary AWPU value in line with the NFF figure of £2,747. 3 authorities have a figure that is less than the NFF value, while 5 including Northumberland have a higher figure. The range of figures varies from £2,662 to £2,853 per pupil.

At KS3, 6 authorities, including Northumberland have set their figures in line with the NFF AWPU figure of £3,863, 4 are below and 3 are above. Figures range from £3,812 to £4,171.

At KS4, 6 authorities have set their figures in line with the NFF AWPU figure of £4,386. 3 are below and 4 are above including Northumberland. Figures range from £4,353 to £5,314.

## 5. Deprivation

This is another mandatory factor which every local authority must use in their 2019 to 2020 formula. Local authorities can distribute their deprivation funding using any combination of the three indicators: children eligible for free school meals (FSM), children eligible for free school meals in any of the last 6 years (FSM6) or Income Deprivation Affecting Children Index (IDACI) data. IDACI is a measure of income deprivation and identifies the proportion of children in out-of-work households or on low incomes. Based on postcode properties are allocated to one of 7 bands, individual financial assessments are not carried out in respect of IDACI.

There is also some significant variation in the overall proportion of funding distributed by deprivation, even within the North East comparators. The average for the 13 authorities is 10.8% of total DSG is distributed via deprivation; however individual authority figures vary from 5.9% to 16.1%. Northumberland at 9.2% is below the average, and represents the third lowest figure.

Historically Northumberland used FSM and IDACI but introduced FMS6 into the formula in 2018/19 following initial NFF information.

Comparisons on the basis of FSM and FSM6 are relatively straightforward, unlike IDACI where each LA can assign a different value to each of the different bands.

### FSM and FSM6:

12 of the 13 authorities use FSM in their formulas, 7 use the NFF value of £440 per child (at both primary and secondary), 3 LAs used a lower figure and 2 use a higher figure. Figures range from £210 to Northumberland's figure of £676, which is the highest currently used.

In relation to FSM6, all 13 authorities use this in their formula. 8, including Northumberland are in line with NFF, one authority is below and 4 are above.

### IDACI:

Comparisons involving IDACI are more difficult due to the different levels of banding involved. Northumberland is in line with NFF values for all bands with the exception of Band B where we pay £532(Primary) and £725 (Secondary), which are above the respective NFF Band B figures of £420 and £600 respectively.

## 6. Prior attainment

While use of this factor is optional, all 151 local authorities are using the indicator.

For primary pupils, the indicator is the number of children in years one to 6 assessed under the new early years foundation stage profile as not achieving a good level of development. For secondary pupils a new national curriculum and assessment was introduced in the 2015 to 2016 academic year, which years 7, 8 and 9 have been assessed against. The proportion of pupils who fall in the low prior attainment bracket is higher than the proportion in years 10 to 11, so a national weighting has been applied to each of these years to prevent them overly influencing the funding levels. Separate weightings have been applied to year 7, year 8 and year 9 pupils.

At Primary the NFF value was £1,022 per qualifying pupil, values used ranged from £287 to £1,022. 6 authorities were below NFF incl Northumberland @ £518, while the other 7 were in line

At Secondary the NFF value was £1,050, values used ranged from £647 to £1,550. Four authorities were below NFF, 9 including Northumberland were in line.

**7. Lump Sum**

The NFF values have the same lump sum at primary and secondary, at £110,000. Northumberland had previously had higher differentiated values for Middle and High / Secondary schools. 8 authorities, including Northumberland, are in line with the NFF, 5 are above.

**8. Summary and Conclusions**

Attached at Appendix A is a summary of the key formula values used by authorities for 2019/20. This information is provided following interest in the 2018/19 figures circulated last years and provides further context for discussions in relation to the setting of formula values for 2020/21.

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**Education and Skills Business Manager**  
**13 September 2019**



# Northumberland County Council

		909	841	840	390	805	806	391	392	929	807	393	808	394	
		LA Code													
		Local Authority Name	Cumbria	Darlington	Durham	Gateshead	Hartlepool	Middlesbrough	Newcastle upon Tyne	North Tyneside	Northumberland	Redcar and Cleveland	South Tyneside	Stockton-on-Tees	Sunderland
DSG	Total DSG schools block allocation	285,482,369	66,245,000	306,075,014	110,251,108	63,753,686	103,457,813	165,035,902	120,455,298	179,723,690	90,558,716	91,785,634	127,523,640	167,469,791	
	Total Funding For Schools Block Formula as a percentage of DSG schools block allocation	99.8%	99.4%	100.0%	99.5%	99.6%	99.0%	100.1%	100.0%	99.0%	100.0%	99.5%	98.9%	0.995	
MINIMUM LEVEL OF PER PUPIL FUNDING	Mobility	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No
	Rates	Yes	No	Yes	Yes	No	Yes	Yes	No	Yes	No	Yes	No	No	No
	PFI	No	No	Yes	Yes	No	No	Yes	No	No	No	Yes	No	No	No
	Split Sites	No	No	Yes	No	No	No	No	No	No	No	Yes	No	No	No
	Primary minimum per pupil funding level	3500	0	3300	3500	3500	3500	3500	3500	3500	3500	3500	3500	3500	3500
	Secondary (KS3 only) minimum per pupil funding level	4600	0	0	4600	4600	0	4600	4600	4600	4600	4600	4600	0	4600
	Secondary (KS4 only) minimum per pupil funding level	5100	0	0	5100	5100	0	5100	5100	5100	5100	5100	5100	0	5100
	Secondary (KS3 and KS4) minimum per pupil funding level	4800	0	4600	4800	4800	4800	4800	4800	4800	4800	4800	4800	4800	4800
BASIC ENTITLEMENT (AWPU)	<b>Basic Entitlement Primary Amount Per Pupil</b>	<b>2,746.99</b>	<b>2,662.00</b>	<b>2,803.14</b>	<b>2,817.22</b>	<b>2,731.18</b>	<b>2,745.97</b>	<b>2,783.35</b>	<b>2,853.86</b>	<b>2,807.00</b>	<b>2,747.00</b>	<b>2,746.99</b>	<b>2,747.00</b>	<b>2,746.99</b>	
	Basic Entitlement Primary Pupil Units	35826.33333	8963	39117.66667	14931	8066	13536	21750	16436.17	23442.41333	11364	11652	17359	21658	
	Basic Entitlement Primary Subtotal	98,414,579	23,859,506	109,652,467	42,063,912	22,029,722	37,169,450	60,537,925	46,906,528	65,802,854	31,216,908	32,007,927	47,685,173	59,494,309	
	Basic Entitlement Primary Proportion	34.1%	36.4%	35.6%	36.7%	35.5%	37.0%	36.9%	39.1%	37.2%	34.6%	35.2%	38.1%	36.2%	
	<b>Basic Entitlement KS3 Amount Per Pupil</b>	<b>3,862.65</b>	<b>4,020.00</b>	<b>3,812.19</b>	<b>3,841.81</b>	<b>3,840.42</b>	<b>3,861.63</b>	<b>3,914.12</b>	<b>4,171.27</b>	<b>3,863.00</b>	<b>3,863.00</b>	<b>3,862.65</b>	<b>3,863.00</b>	<b>3,862.65</b>	
	Basic Entitlement KS3 Pupil Units	15655	3645	15653	5386	3285	4762	7983	6416	9592.166667	4982	4741	6693	8801	
	Basic Entitlement KS3 Subtotal	60,469,796	14,652,900	59,672,178	20,691,989	12,615,790	18,389,082	31,246,433	26,762,868	37,054,540	19,245,466	18,312,824	25,855,059	33,995,183	
	Basic Entitlement KS3 Proportion	21.0%	22.3%	19.5%	19.0%	20.4%	18.3%	19.1%	22.3%	20.9%	21.5%	20.2%	20.7%	20.7%	
	Basic Entitlement KS3 Notional SEN	5%	0%	0%	5%	3%	10%	4%	5%	0%	8%	7%	4%	2%	
	<b>Basic Entitlement KS4 Amount Per Pupil</b>	<b>4385.81</b>	<b>4353</b>	<b>4716.09786</b>	<b>4385.81</b>	<b>4360.57273</b>	<b>4384.79</b>	<b>4444.042846</b>	<b>5313.88</b>	<b>4580</b>	<b>4386</b>	<b>4385.81</b>	<b>4386</b>	<b>4385.81</b>	
	Basic Entitlement KS4 Pupil Units	10167	2324	9588	3238	2020	2853	5029	3949	6077	3111	3003	3985	5524	
	Basic Entitlement KS4 Subtotal	44,590,530.27	10,116,372	45,217,946.28	14,201,252.78	8,808,356.915	12,509,805.7	22,349,091.47	20,984,512.12	27,832,660	13,644,846	13,170,587.43	17,478,210	24,227,214.44	
	Basic Entitlement KS4 Proportion	0.154612983	0.154149686	0.147805171	0.130682857	0.142112878	0.124510911	0.13639704	0.174786496	0.15722044	0.152219649	0.144981417	0.139698996	0.147357051	
	Basic Entitlement KS4 Notional SEN	5%	0%	0%	5%	3%	10%	4%	5%	0%	8%	7%	5%	2%	
	Basic Entitlement Total	20,347,489.54	4,862,877.8	21,454,259.2	7,695,715.26	4,345,388.91	6,806,837.85	11,413,344.95	9,465,390.56	13,069,005.1	6,410,722.0	6,349,138.56	9,101,844.2	11,771,670.5	
	<b>FSM Primary Amount Per Pupil</b>	<b>440</b>	<b>293</b>	<b>440</b>	<b>440</b>	<b>440</b>	<b>440</b>	<b>459</b>	<b>440</b>	<b>676</b>	<b>440</b>	<b>440</b>	<b>440</b>	<b>210</b>	
	<b>FSM Secondary Amount Per Pupil</b>	<b>440</b>	<b>293</b>	<b>1,630</b>	<b>440</b>	<b>440</b>	<b>440</b>	<b>459</b>	<b>-</b>	<b>676</b>	<b>440</b>	<b>440</b>	<b>440</b>	<b>210</b>	
	FSM Eligible proportion of primary NOR	4,396	1,824	9,027	2,948	2,554	3,862	6,508	2,491	3,491	2,572	2,820	3,246	5,118	
	FSM Eligible proportion of secondary NOR	2793	969	4778	1437.773196	1493	2107	3720.455974	1450	2126.7525	1430	1655	1861	3308	
	FSM Subtotal	3,163,311	818,349	10,025,170	1,929,740	1,780,680	2,626,360	4,694,796	-	3,797,914	1,760,880	1,969,000	2,247,080	1,769,460	
	FSM Primary Notional SEN	50%	49%	20%	23%	8%	10%	47%	15%	35%	16%	5%	0%	100%	
	FSM Secondary Notional SEN	50%	49%	20%	19%	8%	10%	60%	15%	35%	16%	5%	0%	100%	
	<b>FSM Ever 6 Primary Amount Per Pupil</b>	<b>540</b>	<b>630</b>	<b>304</b>	<b>678</b>	<b>540</b>	<b>540</b>	<b>563</b>	<b>562</b>	<b>540</b>	<b>540</b>	<b>540</b>	<b>540</b>	<b>540</b>	
<b>FSM Ever 6 Secondary Amount Per Pupil</b>	<b>785</b>	<b>855</b>	<b>442</b>	<b>1,058</b>	<b>785</b>	<b>785</b>	<b>819</b>	<b>866</b>	<b>785</b>	<b>785</b>	<b>785</b>	<b>785</b>	<b>785</b>		
FSM Ever 6 Eligible proportion of primary NOR	6708.475468	2592.760328	12133.2628	4255.718877	3299.160131	5448.575725	7784.870327	4123.958423	5193.994126	3883.809591	4049.167078	4822.350154	6511.96613		
FSM Ever 6 Eligible proportion of secondary NOR	5135.336117	1852.292883	8270.615407	2744.901868	2227.844801	3716.845137	5215.191002	2774.63107	3894.100299	2773.536703	3021.630049	3265.892833	5196.331549		
FSM Ever 6 Subtotal	7,853,815.60	3,217,149.42	7,343,074.35	5,789,453.13	3,530,404.64	5,859,354.32	8,556,111.88	4,721,102.52	5,801,625.56	4,274,483.49	4,558,529.81	5,167,794.96	7,595,581.98		
FSM Ever 6 Primary Notional SEN	50%	49%	20%	23%	8%	10%	47%	15%	35%	16%	5%	0%	100%		
FSM Ever 6 Secondary Notional SEN	50%	49%	20%	19%	8%	10%	60%	15%	35%	16%	5%	0%	100%		
IDACI Primary B Amount Per Pupil	420	510	630.0607265	447.33	420	420	438.1386323	445.75	532	420	420	420	420		
IDACI Secondary B Amount Per Pupil	600	585	678.3084876	600	600	600	625.9123319	657.03	725	600	600	600	600		
IDACI Primary B Eligible proportion of primary NOR	2203.550386	732.0589042	3075.293933	1118.181484	1801.2815	2325.68925	5029.088403	915.2876583	2542.937408	1388.59553	1876.390627	2158.481126	3085.072321		
IDACI Secondary B Eligible proportion of secondary NOR	1240.627955	427.3830587	1893.822613	527.5722968	1163.659699	1367.020914	3016.88458	499.0771543	1479.666686	861.9298403	1065.78732	1181.330682	1832.237932		
IDACI B Subtotal	1,669,868	623,369	3,222,218	816,740	1,454,734	1,797,022	4,091,743	735,898	2,425,601	1,100,368	1,427,556	1,615,360	2,395,073		
IDACI B Primary Notional SEN	0.5	0.49	0.200856984	0.23	0.075	0.1	0	0.15	0.35	0.16	0.05	0	0.25		
IDACI B Secondary Notional SEN	0.5	0.49	0.200856984	0.23	0.075	0.1	0	0.15	0.35	0.16	0.05	0	0.25		
IDACI A Primary Notional SEN	0.5	0.49	0.203541123	0.19	0.075	0.1	0	0.15	0.35	0.16	0.05	0	0.25		
IDACI A Secondary Notional SEN	0.5	0.49	0.203541123	0.19	0.075	0.1	0	0.15	0.35	0.16	0.05	0	0.25		
<b>Deprivation Total</b>	<b>17,129,253</b>	<b>6,999,610</b>	<b>36,872,964</b>	<b>12,208,939</b>	<b>9,381,602</b>	<b>16,253,775</b>	<b>24,004,859</b>	<b>8,903,169</b>	<b>16,266,308</b>	<b>11,176,308</b>	<b>12,146,063</b>	<b>13,190,635</b>	<b>18,978,329</b>		
<b>Deprivation Proportion</b>	<b>5.9%</b>	<b>10.7%</b>	<b>12.1%</b>	<b>11.2%</b>	<b>15.1%</b>	<b>16.2%</b>	<b>14.7%</b>	<b>7.4%</b>	<b>9.2%</b>	<b>12.5%</b>	<b>13.4%</b>	<b>10.5%</b>	<b>11.5%</b>		



